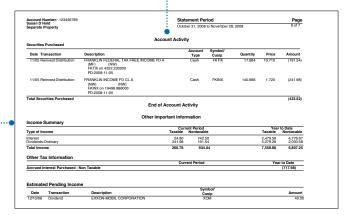
## .... Account Activity

Lists the transactions in your account during the statement period.



## i..... Income Summary

Lists dividend and interest amounts that were paid during the statement period.

#### **Estimated Pending Income**

Lists dividend and interest amounts that were declared but not paid during the statement period.



## ····· Open Orders

Lists open GTC orders that were not fully executed as of the last day of the statement period.



CORPORATE HEADQUARTERS
Southwest Securities, Inc.
1201 Elm Street, Suite 3500, Dallas, Texas 75270
Member: NYSE, FINRA, SIPC



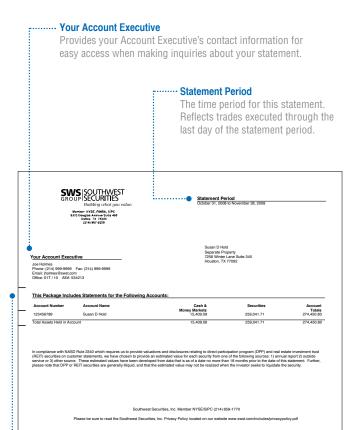
Building what you value.

Welcome To Your

Customer Statement

# **Understanding Your Customer Statement**

Your brokerage account statement provides a quick and easy way to review and analyze your portfolio. You can track your investments, review account activity, view changes in account value, and view a chart of your asset allocation. If you have any questions, your Account Executive's contact information is listed on the statement.



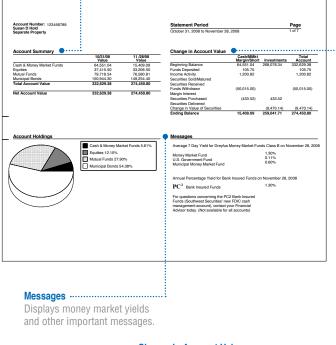
# ..... Account Holder Information

Lists the value of the cash & money markets, equities, fixed income, mutual funds, UITs, taxable and municipal bonds for each of your accounts on the last day of the statement period.



# **Account Summary**

Reflects the value of cash & money markets and other investment holdings by asset class on the first and last day of the statement period.

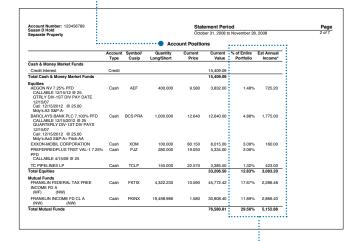


# Change In Account Value ----

Highlights the changes in your account value for the statement period.

#### ...Account Positions

Positions are grouped by asset class and listed alphabetically. Each position shows the current price, current value, percentage of entire portfolio, estimated annual income, total cost basis, and unrealized gain/loss.



# Account Positions Detail .....

Lists all the investment holdings held in your account, including estimated annual income and total cost basis.